

03-12-1997

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FORM PTO  
(Rev. 6-1-94)  
OMB No. 351-0011

100370533

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party: Gilles H. Tapolsky, David W. Osborne

Additional name(s) of conveying party(s) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:

Execution Date: November 26, 1996

2. Name and address of receiving party:

Name: ViroTex Corporation

Internal Address:

Street Address: 4200 Research Forest Drive, Suite 34519

City: The Woodlands State: TX Zip: 77081

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: 60362 U.S. PTO

A. Patent Application No.(s) U.S. Patent Application Serial No. 08/734,519 filed October 18, 1996

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patricia A. Kammerer

Internal Address:

Street Address: P.O. Box 4433

City: Houston State: Texas Zip: 77210

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41)----- \$ 40

☒ Enclosed☒ Authorized to be charged to deposit account if inadvertently omitted or credit any over payment.

8. Deposit account number:

01-2508/VIRO:017/KAM

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Maria C. Flores

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

APRIL 29, 1997

PTAS

PATRICIA A. KAMMERER  
P.O. BOX 4433  
HOUSTON, TX 77210



\*100370533A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 01/23/1997

REEL/FRAME: 8380/0052  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
TAPOLSKY, GILLES H.

DOC DATE: 11/26/1996

ASSIGNOR:  
OSBORNE, DAVID W.

DOC DATE: 11/26/1996

ASSIGNEE:  
VIROTEX CORPORATION  
4200 RESEARCH FOREST DRIVE, SUITE 350  
THE WOODLANDS, TEXAS 77381

SERIAL NUMBER: 08734519  
PATENT NUMBER:

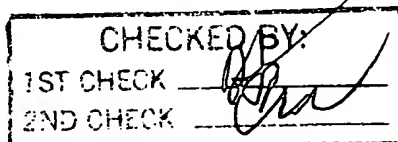
FILING DATE: 10/18/1996  
ISSUE DATE:

SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
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REC'D A.W.D.

MAY - 5 1997

DOCKET DESK



ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to VIROTEX CORPORATION, (the "Assignee"), a corporation of Delaware having a place of business at 4200 RESEARCH FOREST DRIVE, SUITE 350, THE WOODLANDS, TEXAS 77381, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 08/734,519 which was filed on October 18, 1996, and is entitled "BIOERODABLE FILM FOR DELIVERY OF PHARMACEUTICAL COMPOUNDS TO MUCOSAL SURFACES" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Gilles H. Tapolsky Date: 11/26/96

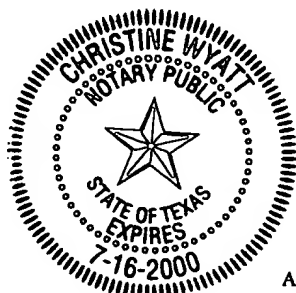
Name: Gilles H. Tapolsky

Signature: David W. Osborne Date: 11/26/96

Name: David W. Osborne

STATE OF                   §  
                                  § ss.  
COUNTY OF           §

BEFORE ME, the undersigned authority, on this 26<sup>th</sup> day of November, 1996, personally appeared GILLES H. TAPOLSKY AND DAVID W. OSBORNE, known to me to be the persons whose names are subscribed to the foregoing instrument and acknowledged to me that each executed the same of his own free will for the purposes and consideration therein expressed.



Christine Wyatt  
Notary or Consular Officer

[SEAL]